OIPE JC37
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/685,048
Filing Date	10/14/2003
First Named Inventor	Robert E. Englekirk
Art Unit	Unknown
Examiner Name	Unknown
Total Number of Pages in This Submission: 4+	Attorney Docket Number: 2187-00101

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☑ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please Identify below):

PTO Form 1449; 5 cited references; and acknowledgment postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Marcella D. Watkins, PTO Reg. No. 36,962 CONLEY ROSE, P.C.
Signature	Marcella D Watkins
Date	December 8, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Dixie L. Hunter		
Signature	Dixie L Hunter	Date	December 8, 2003

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DEC 10 2003
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Robert E. Englekirk, et al.	§	
		§	
Serial No.:	10/685,048	§	Group Art Unit: Unknown
		§	
Filed:	10/14/2003	§	Examiner: Unknown
		§	
For:	Structural Wall Coupling System	§	Confirmation No. Unknown
		§	

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Att'y Docket No. 2187-00101
Date: December 8, 2003

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. Because this application was filed after June 30, 2003, copies of U.S. patents listed on Form PTO-1449 are not enclosed; however, copies of other cited publications are enclosed.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action on the merits has been received in the present application, therefore no fee is due.

Respectfully submitted,

Marcella D. Watkins
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ATTORNEY FOR APPLICANTS

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet One of Two

Complete if Known	
Application Number	10/685,048
Filing Date	10/14/2003
First Named Inventor	Robert E. Englekirk
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	2187-00101

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,979,919	09-14-1976	Blonde et al.	
		US- 4,261,542	04-14-1981	Lefebvre	
		US- 4,439,064	03-27-1984	van der Gaarden	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet | Two | of | Two

Complete if Known	
Application Numb r	10/685,048`
Filing Dat	10/14/2003
First Named Inventor	Robert E. Englekirk
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	2187-00101

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		EDI ARCHITECTURE, INC., brochure entitled "Tunnelform," 12/10/2000; 8 pgs.	
		ENGLEKIRK PARTNERS job proposal to City of Long Beach, entitled "Design Basis and Methodology for the Shear Walls - Ocean Villas," August 8, 2001, 55 pgs.	
		TAYLOR, et al., "Design of Slender Reinforced Concrete Walls with Openings," ACI Structural Journal/July-August 1998, pp. 420-433.	
		ZHANG et al., "Seismic Behavior of Reinforced Concrete Shear Walls Subjected to High Axial Loading," ACI Structural Journal/Sept.-Oct. 2000, pp. 739-750.	
		WALLACE, "Reinforced Concrete Walls: Recent Research & ACI 318-2001," 6th U.S. Nat'l Conference on Earthquake Eng'g, 12 pgs.	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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